



APPLICATION FORM – Company

Please read through the form carefully and fill in all relevant information. Please note that this is an interactive form, and information can be saved electronically. You must **SAVE** the form to your computer before sending it. If you choose to fill in the form in handwriting, please print clearly in block letters.

For your application to be complete you must send in the registration form and documents mentioned below.

Step 1: Company Details

Please fill in the section relevant to your company, and then continue to page 10:

- 1) Domestic Company – Page 1
- 2) Foreign Company Registered in Australia – Page 4
- 3) Foreign Company Not Registered in Australia – Page 7

COMPANY DETAILS – DOMESTIC COMPANY

1) Full Company Name (as registered by ASIC)

2) Full Address of Registered Office (*PO Box is NOT acceptable*)

Number and Street Name

Suburb

State/Territory

Postcode

Country

3) Full Address of Company's Principle Place of Business (if any) (*PO Box is NOT acceptable*)

Number and Street Name

Suburb

State/Territory

Postcode

Country

4) ACN

5) Is the company registered by ASIC as a proprietary company or a public company?

Proprietary

Public

Note: a proprietary company is typically identified by the use of 'Pty Ltd' or 'Pty Limited' at the end of its name. A public company is one that is typically identified by the use of 'Ltd' or 'Limited'.

6) If you are a proprietary company, list the full name of each director of the company:

1 Full Name of Director

2 Full Name of Director

3 Full Name of Director

4 Full Name of Director

Note: if the company has more than 4 directors please provide additional details on an official company letterhead and attach it to your application

I have attached additional details of directors to this application

7) Is the company licensed and subject to regulatory oversight of a Commonwealth, State or Territory regulator in relation to your activities as a Company (for example: Australian Financial Service License)

Yes License Details (then go to page 10)

No

8) If you answered 'No' in 7 above, list the full name and address of each beneficial owner of the company. A beneficial owner is any individual who owns through one or more shareholdings more than 25 per cent of the issued capital in the company.

1 Full Name of Beneficial Owner

Number and Street Name

Suburb

State/Territory

Postcode

Country

2 Full Name of Beneficial Owner

Number and Street Name

Suburb

State/Territory

Postcode

Country

3 Full Name of Beneficial Owner

Number and Street Name

Suburb

State/Territory

Postcode

Country

4 Full Name of Beneficial Owner

Number and Street Name

Suburb

State/Territory

Postcode

Country

Proceed to page 9- Step 2: Authorised Representative Details

COMPANY DETAILS – FOREIGN COMPANY REGISTERED IN AUSTRALIA

1) Full Company Name (as registered by ASIC)

2) Full Address of Registered Office (*PO Box is NOT acceptable*)

Number and Street Name

Suburb

State/Territory

Postcode

Country

3) Full Address of Company's Principle Place of Business (if any) (*PO Box is NOT acceptable*)

Number and Street Name

Suburb

State/Territory

Postcode

Country

4) Full Address of Company's Principle Place of Business (if any) **OR** the Full Name and Address of Company's Local Agent (if any) (*PO Box is NOT acceptable*)

Name of Local Agent (if applicable)

Number and Street Name

Suburb

State/Territory

Postcode

Country

5) ARBN

6) Country Company was Formed, Incorporated or Registered

7) Is the company registered by a relevant foreign registration body? Yes

– Go to 8)

No – Go to 9)

8) If you answered 'Yes' in 8 above, is the company registered as:

Private Public Other: _____

9) If you are a private company, list the full name of each director of the company:

1 Full Name of Director

2 Full Name of Director

3 Full Name of Director

4 Full Name of Director

Note: if the company has more than 4 directors please provide additional details on an official company letterhead and attach it to your application

I have attached additional details of directors to this application

10) If the company is a proprietary or private company: is the company licensed and subject to regulatory oversight of a Commonwealth, State or Territory regulator in relation to your activities as a Company (for example: Australian Financial Service License)?

Yes License Details (then go to page 10)

No Go to 11)

11) If you answered 'No' in 10 above, list the full name and address of each beneficial owner of the company. A beneficial owner is any individual who owns through one or more shareholdings more than 25 per cent of the issued capital in the company.

1 Full Name of Beneficial Owner

Number and Street Name

Suburb

State/Territory

Postcode

Country

2 Full Name of Beneficial Owner

Number and Street Name

Suburb

State/Territory

Postcode

Country

3 Full Name of Beneficial Owner

Number and Street Name

Suburb

State/Territory

Postcode

Country

4 Full Name of Beneficial Owner

Number and Street Name

Suburb

State/Territory

Postcode

Country

Proceed to page 9- Step 2: Authorised Representative Details

COMPANY DETAILS – FOREIGN COMPANY NOT REGISTERED IN AUSTRALIA

1) Full Company Name

2) Full Address of Company in Country of Formation, Incorporation or Registration (*PO Box is NOT acceptable*)

Number and Street Name

Suburb

State/Territory

Postcode

Country

3) Country Company was Formed, Incorporated or Registered

4) Is the company registered by a relevant foreign registration body? Yes –

Go to 5)

No – Go to 8)

5) Identification Number Issued by Foreign Registration Body upon Formation, Incorporation or Registration

6) Is the company registered as:

Private

Public

Other: _____

7) If you are a private company, list the full name of each director of the company:

1 Full Name of Director

2 Full Name of Director

3 Full Name of Director

4 Full Name of Director

Note: if the company has more than 4 directors please provide additional details on an official company letterhead and attach it to your application

I have attached additional details of directors to this application

8) Please list the full name and address of each beneficial owner of the company. A beneficial owner is any individual who owns through one or more share holdings more than 25 per cent of the issued capital in the company.

1 Full Name of Beneficial Owner

Number and Street Name

Suburb

State/Territory

Postcode

Country

2 Full Name of Beneficial Owner

Number and Street Name

Suburb

State/Territory

Postcode

Country

3 Full Name of Beneficial Owner

Number and Street Name

Suburb

State/Territory

Postcode

Country

4 Full Name of Beneficial Owner

Number and Street Name

Suburb

State/Territory

Postcode

Country

Proceed to page 9 - Step 2: Authorised Representative Details

Step 2: Authorised Representative Details

Surname (as appears in official documents)

Given Name(s) (as appears in official documents)

Title

Date of Birth dd/mm/yyyy

Citizenship

CONTACT DETAILS

Email

Mobile Number (include country code)

Phone Number (include country code)

Password for Trading Account (must include letters and numbers)

Verify Password

RESIDENTIAL ADDRESS DETAILS (PO Box is NOT acceptable)

Unit/House Number and Street Name

Suburb/City

State/Territory

Postcode

Country

I hereby declare that I have the authority to open an account with AGM Markets Pty Ltd on behalf of _____ (full company name)

Full Name (as appears in official documents)

Signature

Date

If you are sending this form via fax - please sign above. If you are sending this form via email and do not have a digital signature, checking that the information you provided is true and accurate, and typing your name will be sufficient enough, and will still hold true.

Step 3: Client Acknowledgment

You are required to read the following documents prior to opening an account with AGM Markets Pty Ltd. The documents can be found on au.alphabinary.com

By ticking the boxes and signing below, you acknowledge that you have read all the documents and understand that trading in foreign exchange can incur a substantial risk of loss as well as a potential for profit.

- [Account Terms](#)
- [Financial Service Guide](#)
- [Product Disclosure Statement](#)
- I accept the terms of agreement
- I agree all fees and charges received by AGM Markets Pty Ltd as described in the FSG, Account Terms and the relevant PDS (other than third party fees and charges) are a benefit given to AGM Markets Pty Ltd by me, in exchange for the price quoting and transactional services provided by AGM Markets Pty Ltd. I understand that AGM Markets Pty Ltd does not charge volume-based or asset-based fees for any advice it provides. I understand, consent to, authorise and direct AGM Markets Pty Ltd to charge me in this way.

Full Name (as appears in official documents)	Signature	Date
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If you are sending this form via fax - please sign above. If you are sending this form via email and do not have a digital signature, checking that the information you provided is true and accurate, and typing your name will be sufficient enough, and will still hold true.

Step 4: Identification

We are required to verify your identity prior to opening your account. To do so please answer the following questions, and choose the verification document you will be sending through to us.

Question 1: Are you a terrorist organization?

- No Yes

Question 2: Is the management of your organisation categorised as Politically Exposed Person?

A Politically Exposed Person is an individual who is or was entrusted with prominent public functions, for example heads of State or government, senior politicians, senior government, judicial or military officials, senior executives of state owned corporations or important political party officials.

- No Yes

Question 3: Do you have Politically Exposed Persons involved in your organisation?

- No Yes

Question 4: What is the industry of your company? _____

Question 5: Have you, your officers or directors have a past, present, or have a pending dispute or unresolved matter with a CFD broker, securities broker, exchanges or federal, or state regulatory bodies? No Yes

If yes, please attach a brief explanation as to the nature of the dispute.

Company Verification

In order to complete your application, AGM Markets Pty Ltd requires you send us one of the following verification documents. You must ensure that copies are clear and legible. Please choose the documents you will be sending through to us:

Australian Registered Companies

Company Registration Certificate [ASIC Company Search](#)

Foreign Company

Option 1) Reference to ASIC Company Search or relevant foreign registration body Option 2)

Search of the relevant stock exchange (Foreign Listed Company)

Option 3) Certificate of registration (original or certified copy only)

* A certified copy is a copy of the original document that has been certified as a true and correct copy by a person who is authorised to witness a statutory declaration (under the Commonwealth Statutory Declaration Act 1959), such as a Justice of Peace, Solicitor, Police Officer, Chartered Accountant or Australia Post Employee. The date of certification may not be older than 6 months from the date the document is submitted.

Where any document relied on as part of this procedure is in a language that is not English, it must be accompanied by an English translation prepared by an accredited translator.

Authorised Representative Verification

Option 1) Electronic Based Verification

Australian Residents may choose this method. We will verify your identity by conducting electronic verification checks against various databases maintained by third parties. We confirm that we will not use your details for any other purpose other than to conduct an electronic verification check.

By supplying us with one of the following, you give us your consent to perform an electronic search:

1) [Australian Passport](#) Number _____ Place of birth _____

Country of birth _____ Surname at birth _____

2) [Medicare](#) Card Number _____ Individual Reference Number _____
(10 digits) (Number to the left of name)

3) Driver's License Number:

[ACT](#) _____ + Expiry Date _____

[QLD](#) _____ + Expiry Date _____

[SA](#) _____ + Expiry Date _____

[VIC](#) _____ + Expiry Date _____

[WA](#) _____ + Expiry Date _____
[NSW](#) _____ + RTA Card Number _____ (Number on right hand corner)
+ Expiry Date _____

* For information about the various databases and their privacy terms, please click the links above.

Option 2) Manual Verification

Australian and foreign residents may choose this method. This method requires that you send AGM Markets Pty Ltd documentation in order to verify your identity. You must ensure that copies are clear and legible. Please choose the documents you will be sending through to us:

Australian Residents

Option 1) One original or *certified copy of the following primary photographic ID documents:

- Australian State or Territory Driver's License (copy of both front and back of license)
- Australian Passport
- Australian State or Territory Proof of Age Card

Option 2) One original or *certified copy of the following primary non-photographic ID documents:

- Birth Certificate
- Australian Citizenship Certificate Pension
- Card issued by Centerline

AND

One original or certified copy of the following secondary identification documents showing name

- Notice from Commonwealth, State or Territory recording provision of financial benefits to applicant (no older than 12 months)
- Notice from Australian Tax Office (no older than 12 months)
- Local government rates notice/Utility Bill (no older than 3 months)
- For applicants under the age of 18, a letter from the school principal recording the period of time the applicant has attended the school (no more than 3 months old)

Foreign Residents

Option 1) Clear copy of at least one government issued photographic identification##:

- Passport
- Driver's License National ID
- Card

* Identification must include full name and date of birth and must still be valid.

AND

Clear copy of Proof of Residential Address##:

- Utility Bill
 - Bank Statement
-
- Proof of Address must include name and address, and must have been issued in the past 3 months.
 - Foreign residents currently living in Australia must provide certified copies of the above documents.

* A certified copy is a copy of the original document that has been certified as a true and correct copy by a

person who is authorised to witness a statutory declaration (under the Commonwealth Statutory Declaration Act 1959), such as a Justice of Peace, Solicitor, Police Officer, Chartered Accountant or Australia Post Employee. The date of certification may not be older than 6 months from the date the document is submitted.

Where any document relied on as part of this procedure is in a language that is not English, it must be accompanied by an English translation prepared by an accredited translator.

You must SAVE the form to your computer with your details before sending it.

Where to send your accompanying documents:

Email:	Post:	Fax:
Your account manager	AGM Markets Pty Ltd	+61 3 9525 9772
or	Level 1, 189 Balaclava Road,	
support@alphabinary.com	Caulfield North	
	VIC 3161, AU	

Step 5: Confirmation

I, the undersigned, hereby declare that the information I provided is true and accurate.

Full Name (as appears in official documents)

Signature

Date

If you are sending this form via fax - please sign above. If you are sending this form via email and do not have a digital signature, checking that the information you provided is true and accurate, and typing your name will be sufficient enough, and will still hold true.